

# Mathematical analysis of the spinal cord neural circuit for locomotion in lamprey (fish).

Presentation at Mathematics in Neuroscience Symposium, June 10, 2008, Ecole nationale superieure de chimie de Paris (ENSCP).

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Collaborators on this work:



Alex Lewis

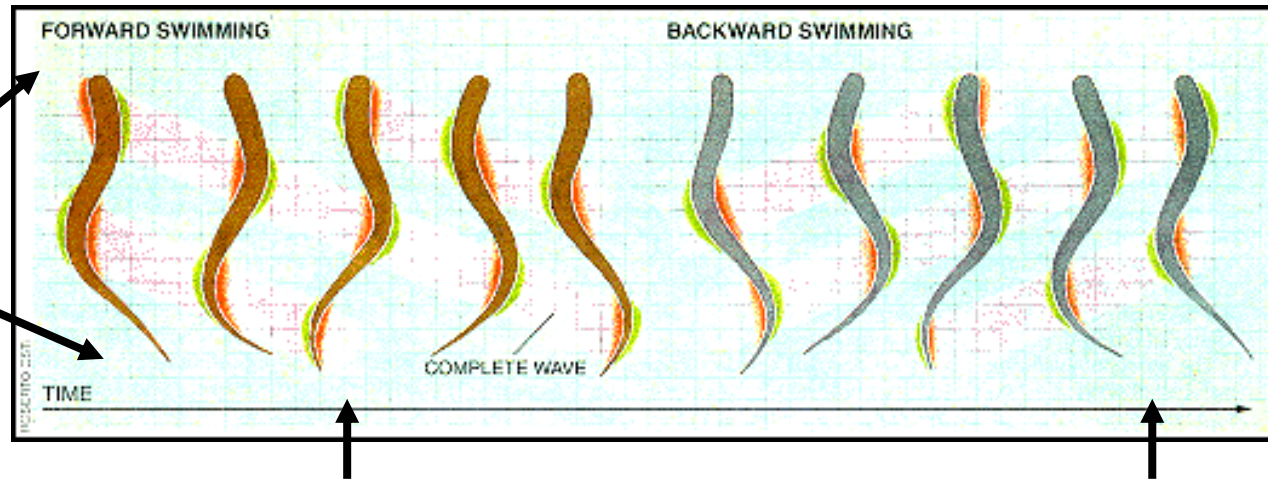


Silvia Scarpetta

Details in Zhaoping et al, Physical Review Letters, 2004,  
[www.cs.ucl.ac.uk/staff/Zhaoping.Li/](http://www.cs.ucl.ac.uk/staff/Zhaoping.Li/)

# Lamprey, locomotion (swimming)

One wave length over  
about 100 body segments



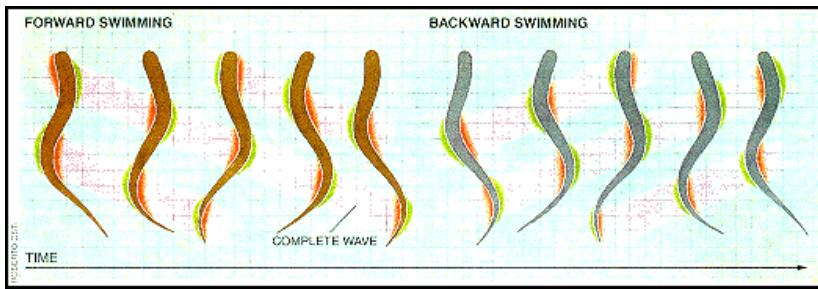
Head oscillation leads tail  
forward swimming

Head oscillation lags tail  
backward swimming

Spatially organized oscillatory neural activities in the spinal neural circuit generate oscillatory muscle action for swimming.

The nervous system survives under in vitro conditions for days for well controlled experimental study: fictive swimming.

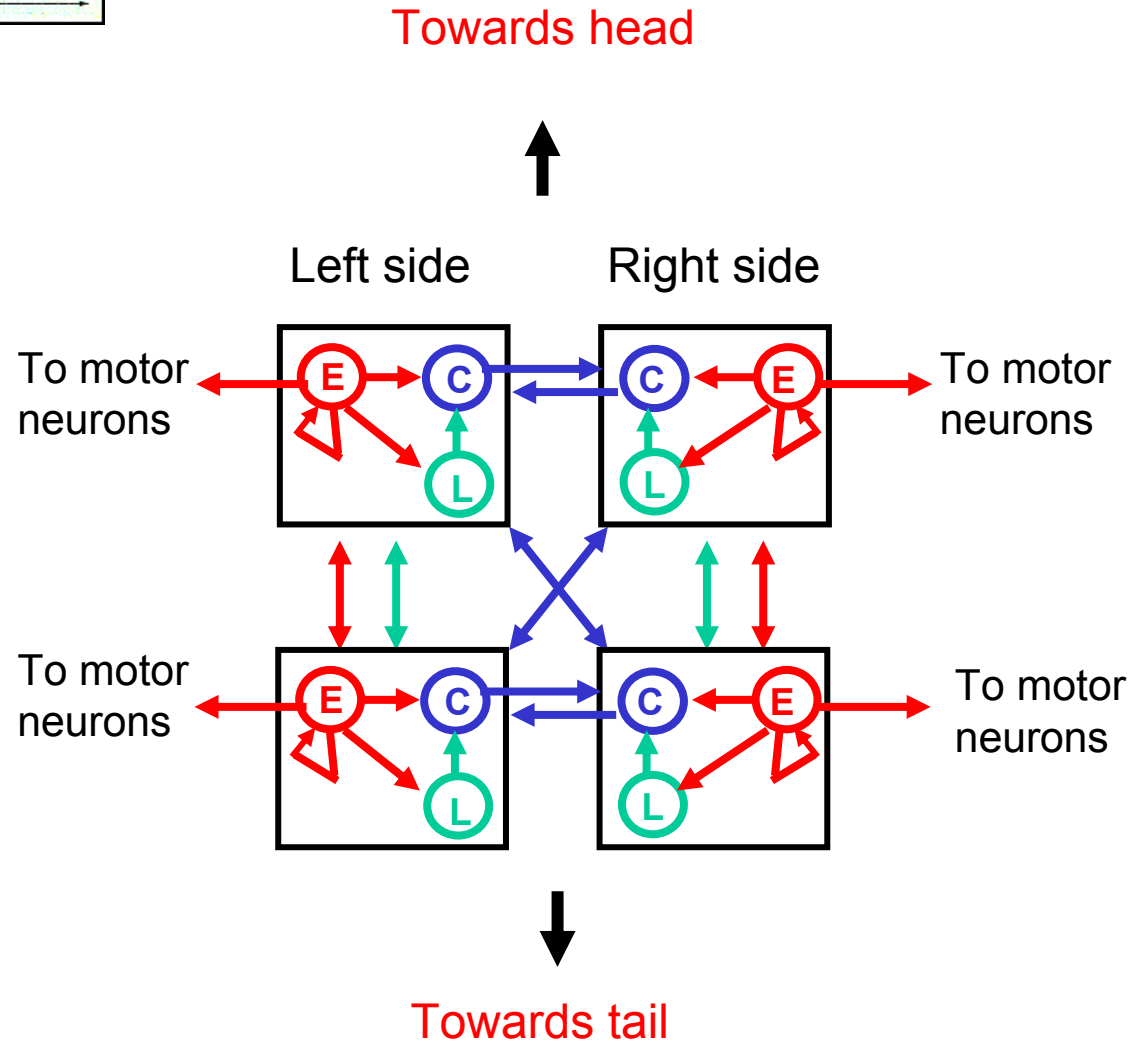
A textbook model system to study motor control, neural circuit (network), and central pattern generator (CPG).



Two segments in the spinal cord neural circuit (the CPG):

Three types of neurons:

E (excitatory),  
 C (cross-caudal inhibitory),  
 L (inhibitory)



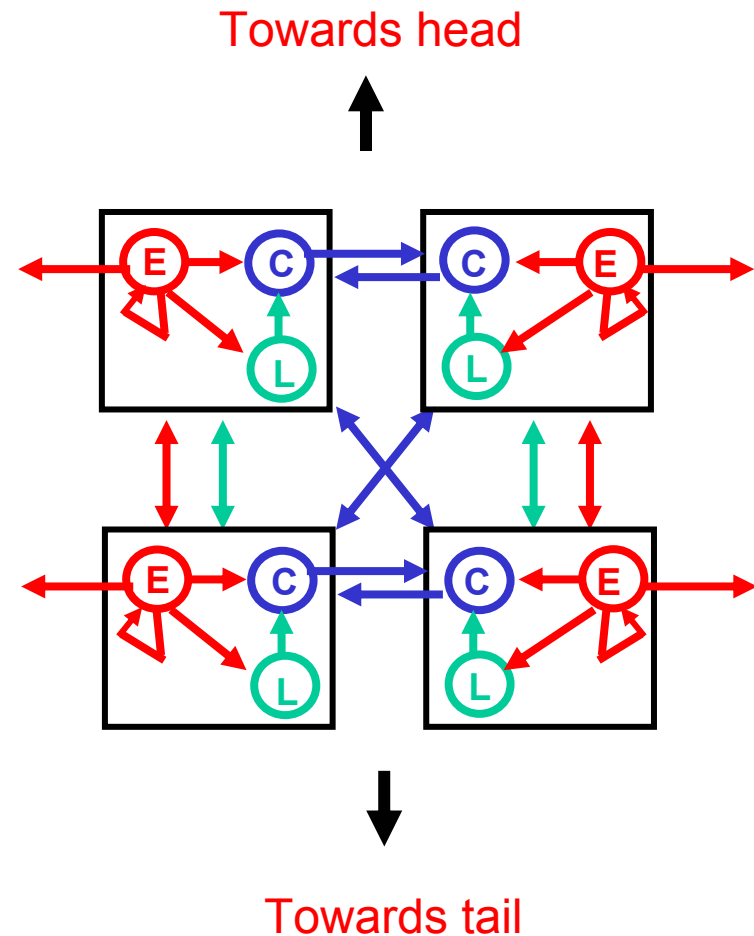
## Experimental data in literature:

Spontaneous oscillations occur in decapitated sections with a minimum of 2-3 segments, from anywhere along the body.

**E** and **C** neurons: shorter range connections (a few segments), **L**: longer connections. Approx. 100 segments for whole body

Head-to-tail (rostral-to-caudal) descending connections dominate

**E** and **L** oscillate in phase, **C** phase leads.



# Representative Previous works



Grillner, Lansner, Hellgren, Kozlov, Brodin, Ekeberg, Wallen, etc:  
Simulation of CPG with detailed cellular properties.

More biological details

## Our Work: analytical study of the neural circuit.

- How do oscillations emerge when single segment does not oscillate? --- {no previous studies}
- How are inter-segment phase lags determined by connections --- {not yet fully understood in previous works}
- How can the same network do both forward and backward swimming? how is it controlled?

More abstract



Kopell, Ermentrout, Cohen, Holmes, etc: Mathematical model of CPG simplified as a chain of coupled abstract phase oscillators.

$$d/dt \theta_i = \omega_i + \sum_j f_{ij}(\theta_i, \theta_j)$$

# Neurons modeled as leaky integrators

$$d/dt \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} = - \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} +$$

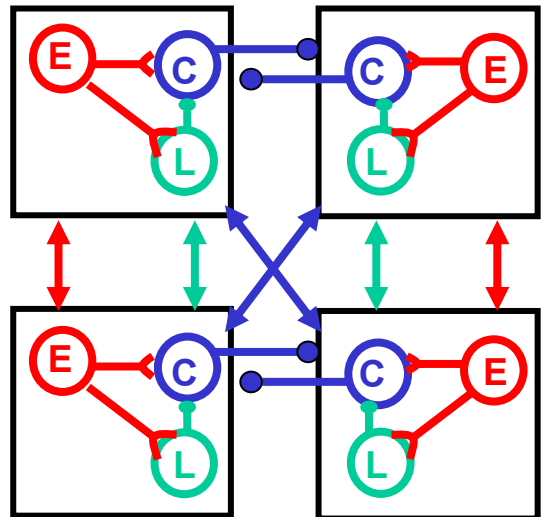
↑ Membrane potentials     ↑ Decay (leaky) term

$$+ \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} g(E_L) \\ g(L_L) \\ g(C_R) \end{pmatrix}$$

↑ Connection strengths     ↑ Firing rates

+ external inputs from outside CPG

Inputs from other neurons within CPG



# Neurons modeled as leaky integrators

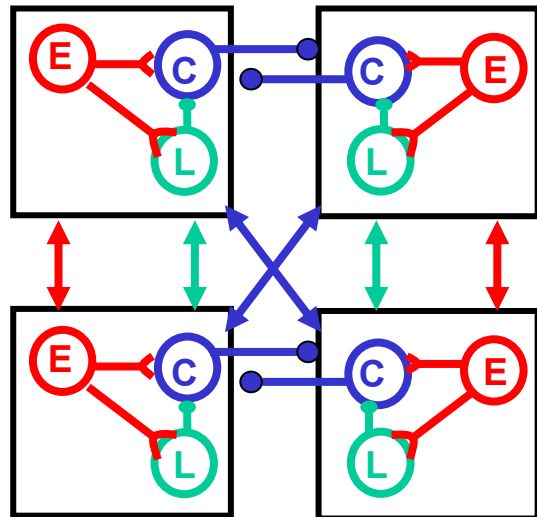
$$\frac{d}{dt} \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} = - \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} + \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} g(E_L) \\ g(L_L) \\ g(C_R) \end{pmatrix} + \text{external inputs}$$

Labels for the equation:
 

- Membrane potentials (under  $E_L, L_L, C_L$ )
- Decay (leaky) term (under the negative sign)
- Connection strengths (under  $J, W, Q, 0, -H, -A, -B, 0, -K$ )
- Firing rates (under  $g(E_L), g(L_L), g(C_R)$ )

Contra-lateral connections from C neurons

Left-right symmetry in connections



# Neurons modeled as leaky integrators

$$\frac{d}{dt} \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} = - \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} + \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} g(E_L) \\ g(L_L) \\ g(C_R) \end{pmatrix} + \text{external inputs}$$

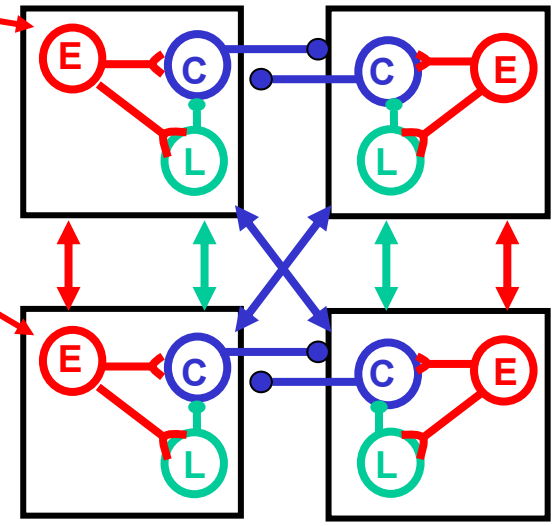
↑ Membrane potentials
 ↑ Connection strengths

E, L, C are 100 component vectors:

$$E_L = \begin{pmatrix} E_L^1 \\ E_L^2 \\ E_L^3 \\ \vdots \end{pmatrix}$$

$$\begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix}$$

is an 300 component vector



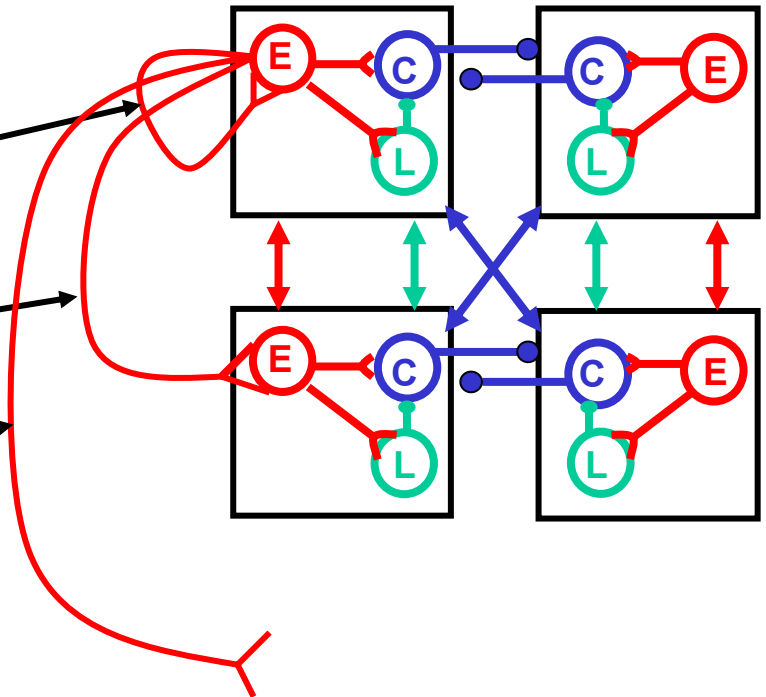
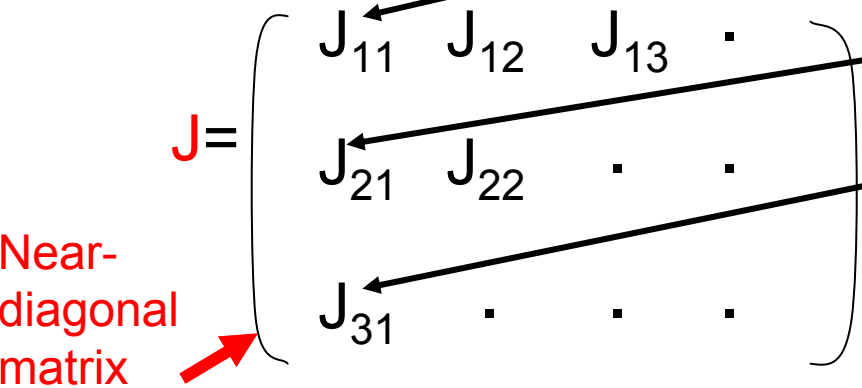


# Neurons modeled as leaky integrators

$$\frac{d}{dt} \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} = - \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} + \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} g(E_L) \\ g(L_L) \\ g(C_R) \end{pmatrix} + \text{external inputs}$$

↑ Membrane potentials
 ↑ Connection strengths

J, K, etc are 100x100 matrices.



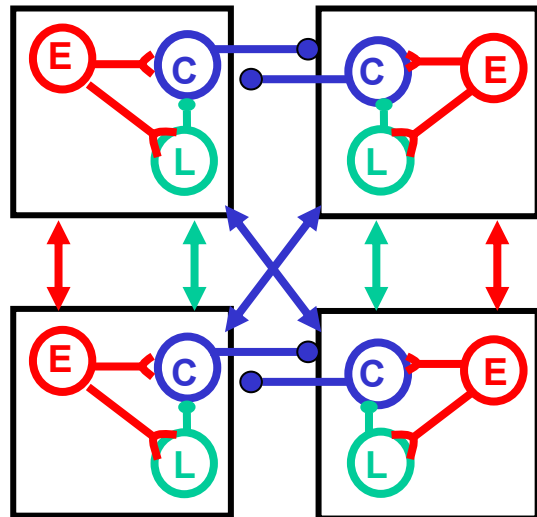
# Neurons modeled as leaky integrators

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Membrane potentials (pointing to the vector on the left)  
 Connection strengths (pointing to the matrix)

This is a 300 x 300 matrix

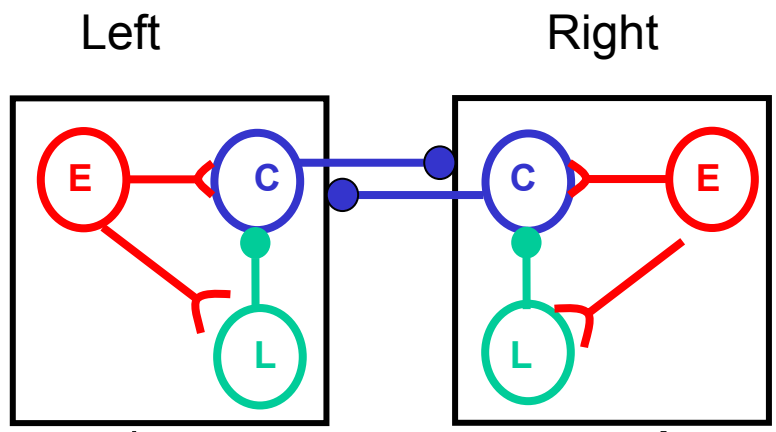
**Equations still too complex,  
Need simplification!!!**



# Methods used in the simplification/analysis:

1. Linear approximation  
to reduce to a low-dimensional system (mode)  
using various real and approximated symmetries.
2. Using physiological data to arrive at another additional  
simplification to a 2-dim system
3. Computer simulation confirming the validity of the  
approximation
4. Nonlinear analysis --- to study coupling between  
modes and stability
5. Coupled oscillator analysis for boundary conditions

Left and right sides are coupled

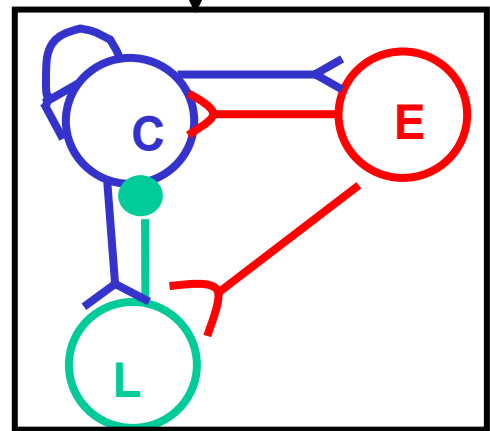
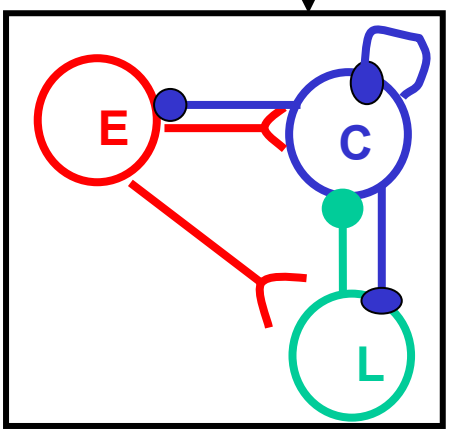


$$\begin{pmatrix} E_+ \\ L_+ \\ C_+ \end{pmatrix} = \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} + \begin{pmatrix} E_R \\ L_R \\ C_R \end{pmatrix}$$

$$\begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} = \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} - \begin{pmatrix} E_R \\ L_R \\ C_R \end{pmatrix}$$

+

-



“+” mode

“-” mode

The swimming mode !!

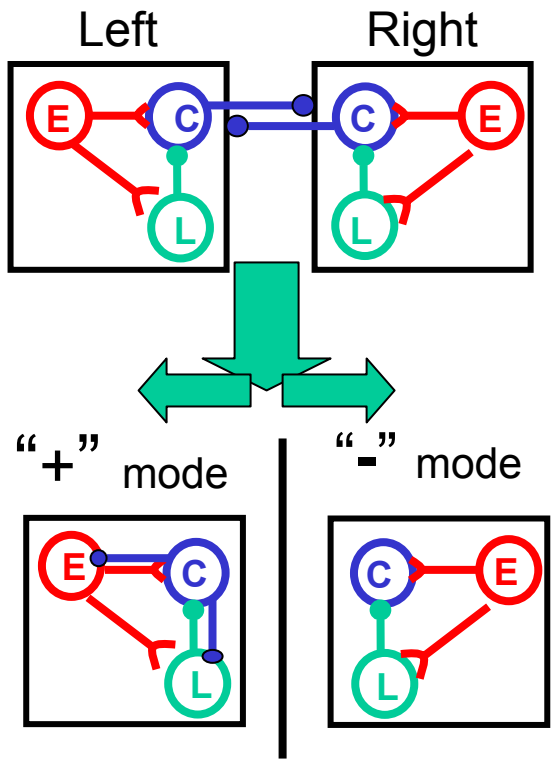
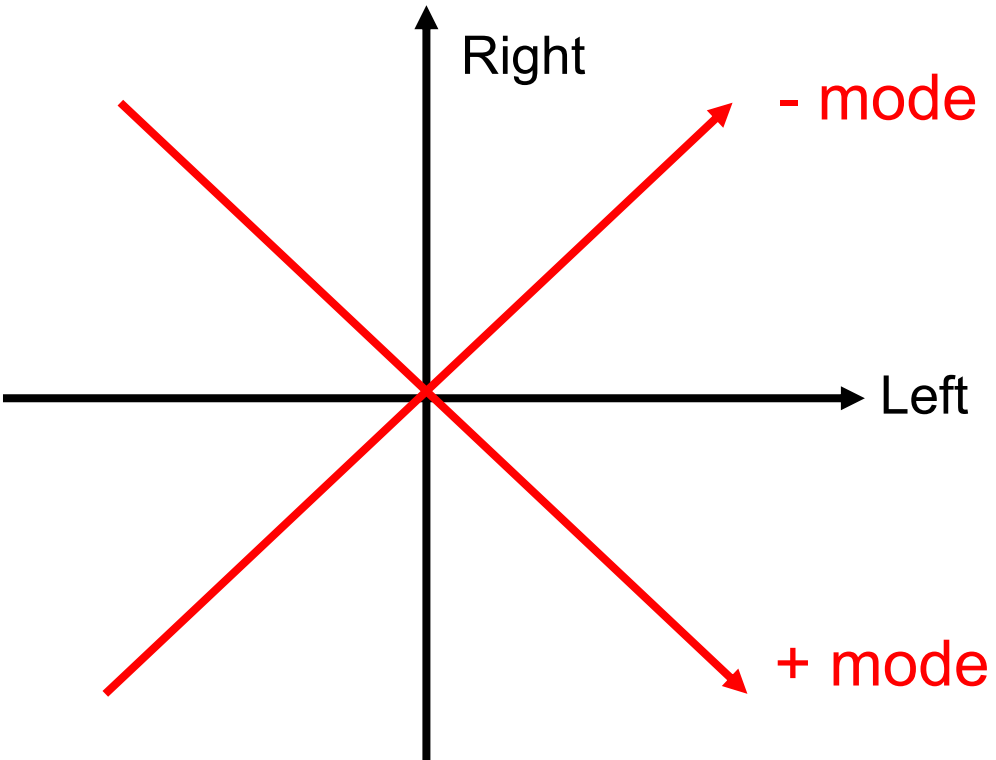
Now decoupled!

**Mathematically:**

$$d/dt \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} = - \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} + \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} g(E_L) \\ g(L_L) \\ g(C_R) \end{pmatrix} + \text{external inputs}$$

**Linear approximation leads to decoupling**

$$\begin{pmatrix} E_{\pm} \\ L_{\pm} \\ C_{\pm} \end{pmatrix} = \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} \pm \begin{pmatrix} E_R \\ L_R \\ C_R \end{pmatrix}$$



**Mathematically:**

$$d/dt \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} = - \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} + \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} g(E_L) \\ g(L_L) \\ g(C_R) \end{pmatrix} + \text{external inputs}$$

**Linear approximation leads to decoupling**

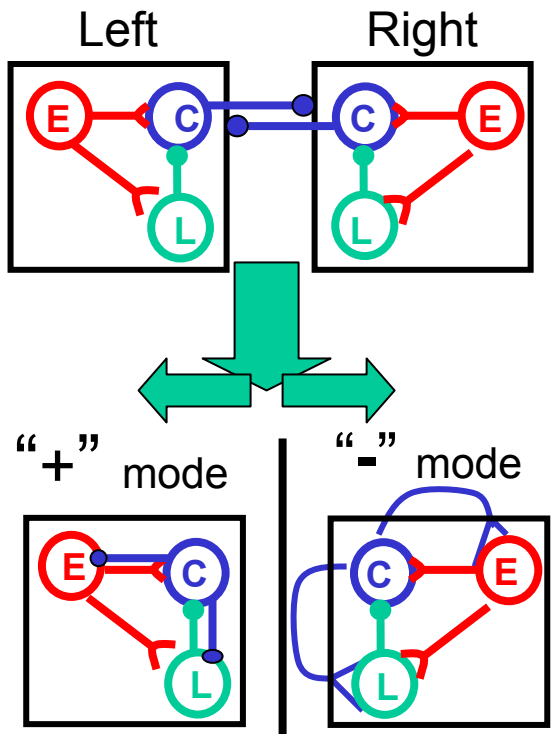
$$\begin{pmatrix} E_{\pm} \\ L_{\pm} \\ C_{\pm} \end{pmatrix} = \begin{pmatrix} E_L \\ L_L \\ C_L \end{pmatrix} \pm \begin{pmatrix} E_R \\ L_R \\ C_R \end{pmatrix}$$

$$d/dt \begin{pmatrix} E_+ \\ L_+ \\ C_+ \end{pmatrix} = - \begin{pmatrix} E_+ \\ L_+ \\ C_+ \end{pmatrix} + \begin{pmatrix} J & 0 & -K \\ W & 0 & -A \\ Q & -H & -B \end{pmatrix} \begin{pmatrix} E_+ \\ L_+ \\ C_+ \end{pmatrix} + \text{external inputs}$$

$$d/dt \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} = - \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} + \begin{pmatrix} J & 0 & +K \\ W & 0 & +A \\ Q & -H & +B \end{pmatrix} \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} + \text{external inputs}$$

The connections scaled by the gain  $g'(\cdot)$  in  $g(\cdot)$ , controlled by external inputs.

**Swimming mode always dominant!**



**Swimming mode**  
C<sub>-</sub> becomes **excitatory**.

# Dynamics for the left-right antiphase (swimming) mode

$$d/dt \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} = - \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} + \begin{pmatrix} J & 0 & +K \\ W & 0 & +A \\ Q & -H & +B \end{pmatrix} \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix}$$

All connections J, W, Q, H, K, A, B are approximately that, e.g., connections  $J_{ij}$  depend only on segment difference

$$x = i - j.$$

Fourier Transform

$$\text{So } J_{ij} \longrightarrow J(x) \xrightarrow{\text{Fourier Transform}} J(k) \quad k=2\pi m/N$$

$$E_1, E_2, E_3 \dots \longrightarrow E(x) \xrightarrow{\text{Fourier Transform}} E(k)$$

Amplitude of spatial waves  $E(x) = \cos(kx + \varphi)$

$$J_{ij} E_j \longrightarrow J(x-x') E(x') \longrightarrow J(k) E(k)$$

Different waves k decouple from each other:

$$d/dt \begin{pmatrix} E(k) \\ L(k) \\ C(k) \end{pmatrix} = - \begin{pmatrix} E(k) \\ L(k) \\ C(k) \end{pmatrix} + \begin{pmatrix} J(k) & 0 & K(k) \\ W(k) & 0 & A(k) \\ Q(k) & -H(k) & B(k) \end{pmatrix} \begin{pmatrix} E(k) \\ L(k) \\ C(k) \end{pmatrix}$$

Fourier Connections

**Solution:**

$$\begin{pmatrix} E(k) \\ L(k) \\ C(k) \end{pmatrix} \exp [ -t + \lambda(k)t ]$$

eigenvector

Eigenvalue of

**Fourier Connections**

$$\begin{pmatrix} J(k) & 0 & K(k) \\ W(k) & 0 & A(k) \\ Q(k) & -H(k) & B(k) \end{pmatrix}$$

$$\begin{pmatrix} E(k) \\ L(k) \\ C(k) \end{pmatrix} \exp [ -t + \text{Re}(\lambda) t - i \omega t ]$$

Spatial waves, oscillating at frequency  $\omega$ . Connection structure decides which wave  $k$  has

$$\text{Re}(\lambda(k)) > 1$$

growing

$$E \sim \exp [ -t + \text{Re}(\lambda) t - i (\omega t - k x + \Phi_E) ]$$

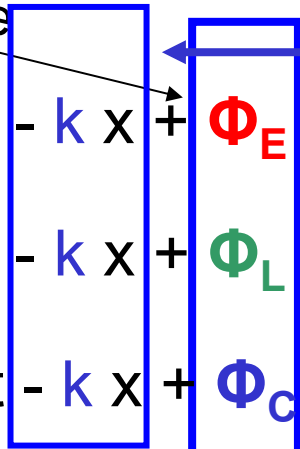
$$L \sim \exp [ -t + \text{Re}(\lambda) t - i (\omega t - k x + \Phi_L) ]$$

$$C \sim \exp [ -t + \text{Re}(\lambda) t - i (\omega t - k x + \Phi_C) ]$$

$k < 0$ , phase descending from head to tail

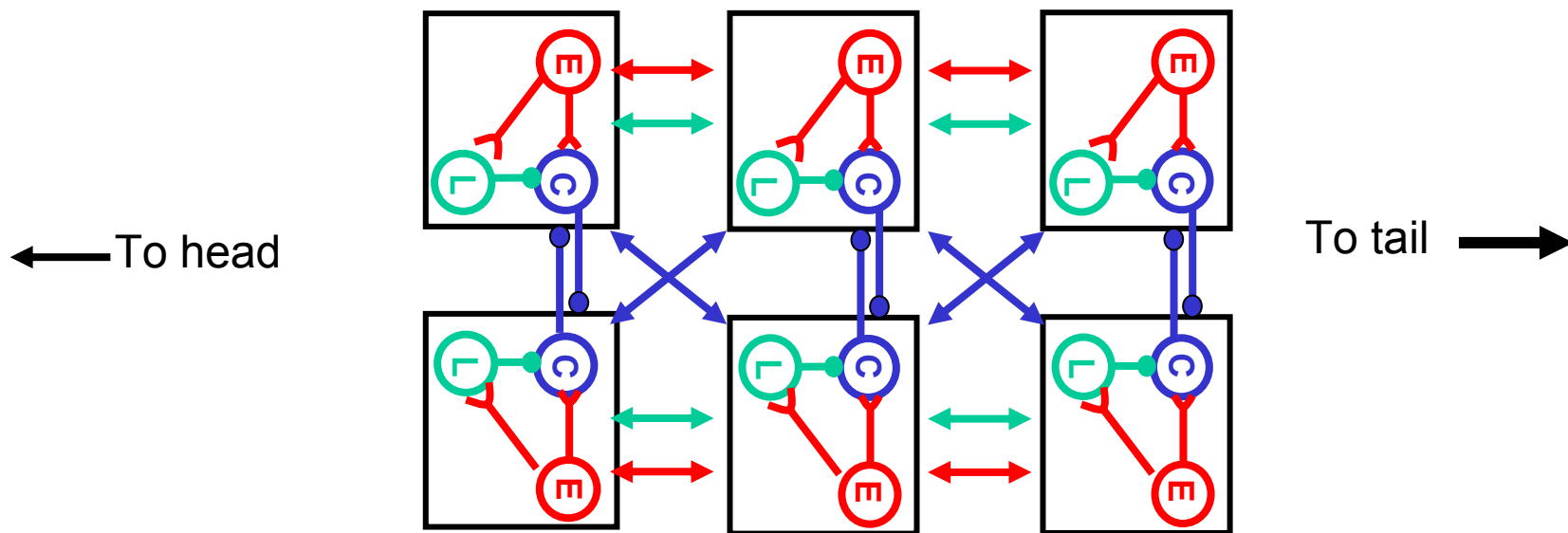
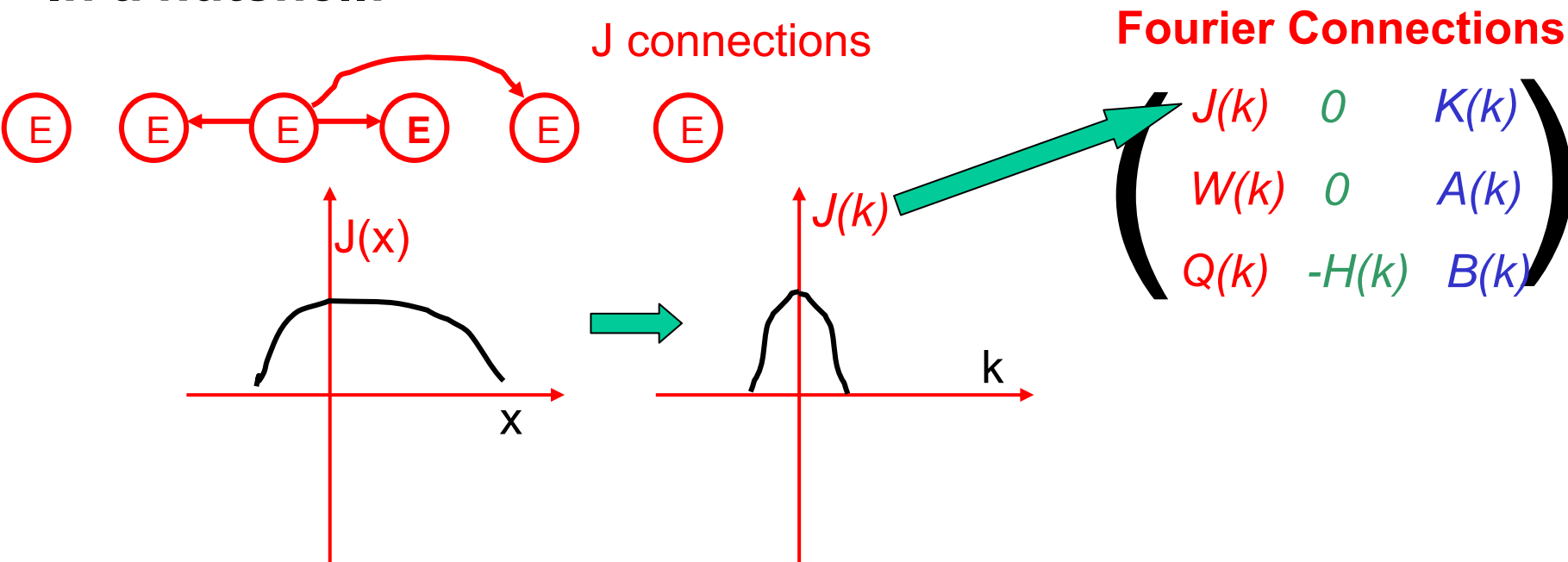
Wave unsustainable unless  $\text{Re}(\lambda) \geq 1$

Oscillation phase

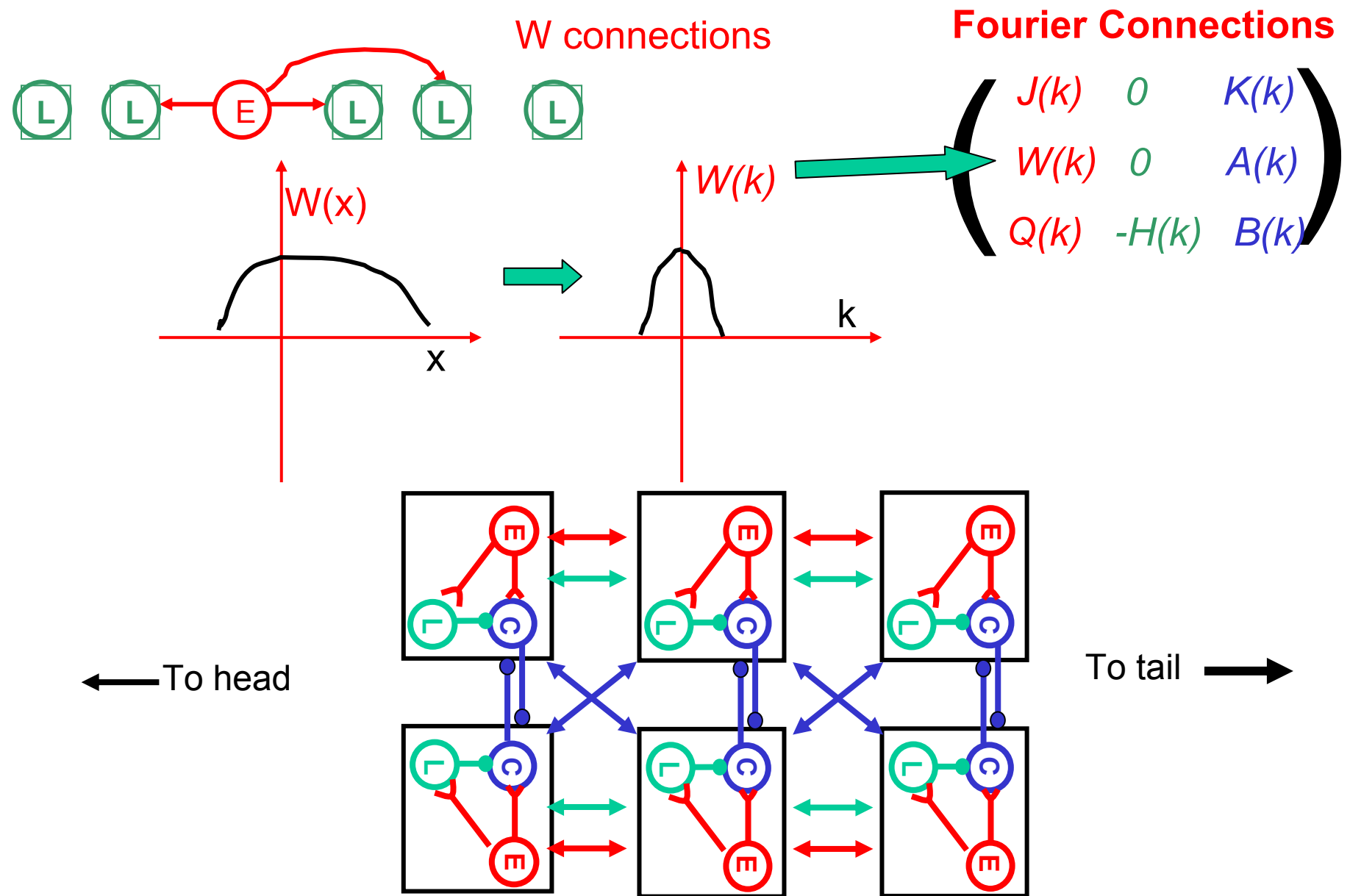




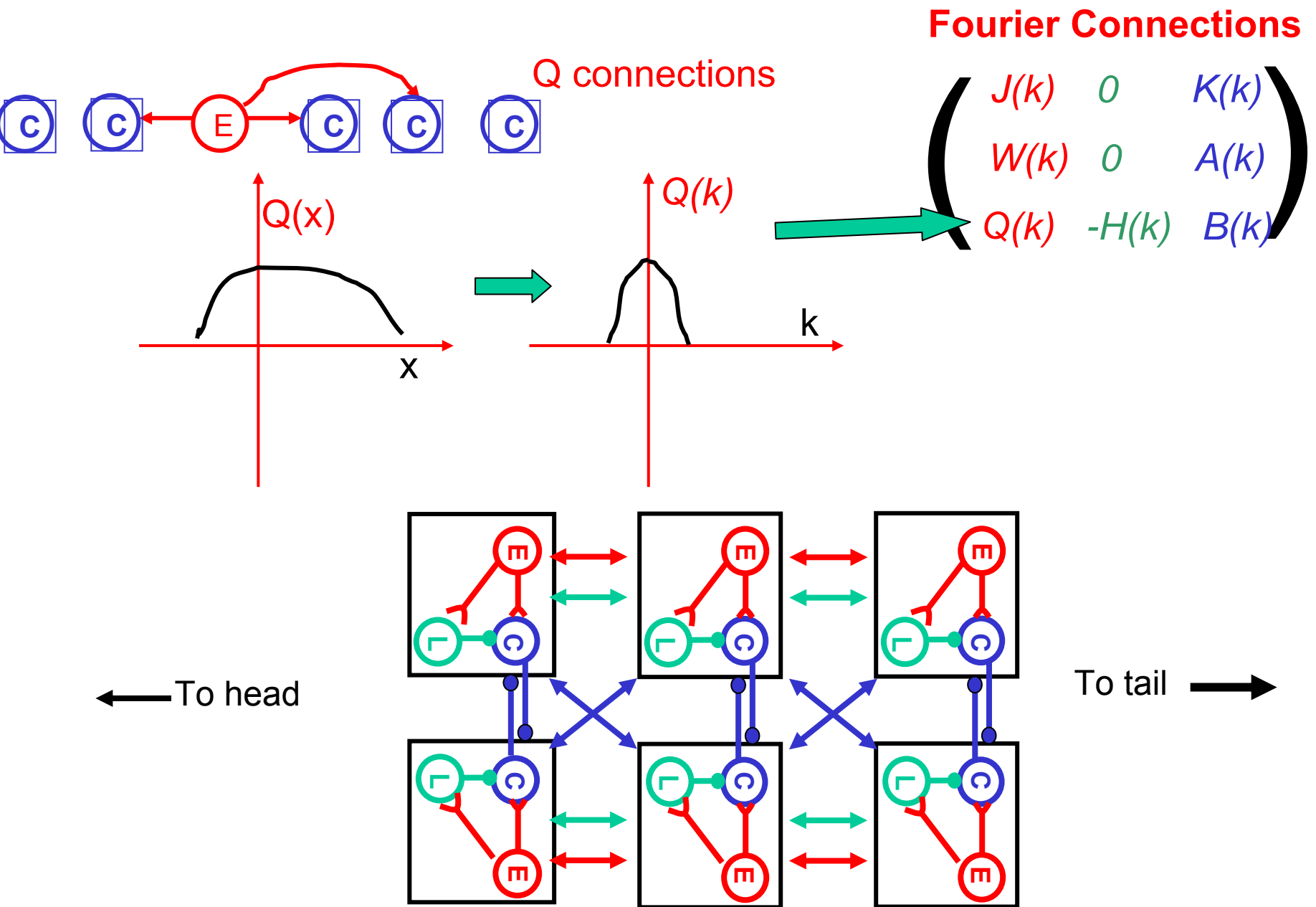
# In a nutshell:



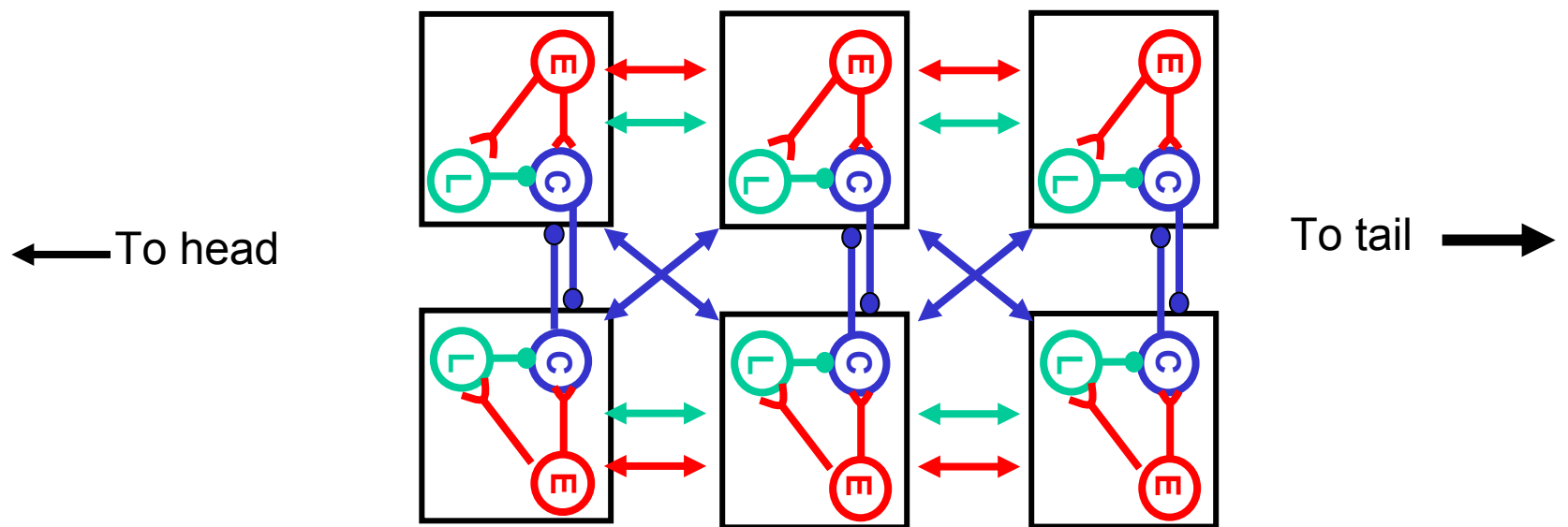
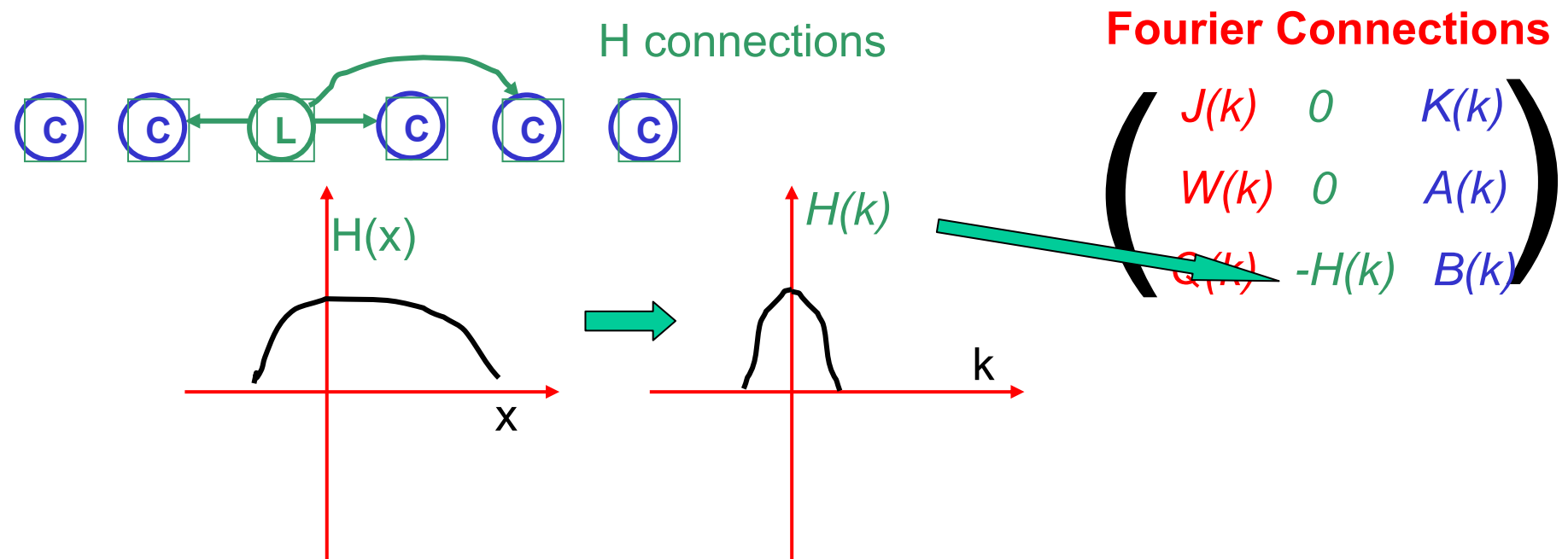
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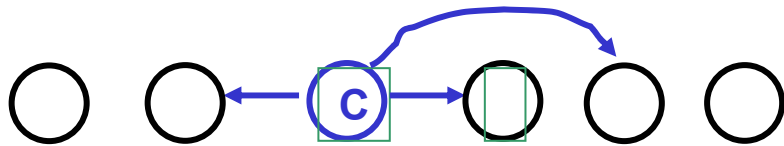


# In a nutshell:

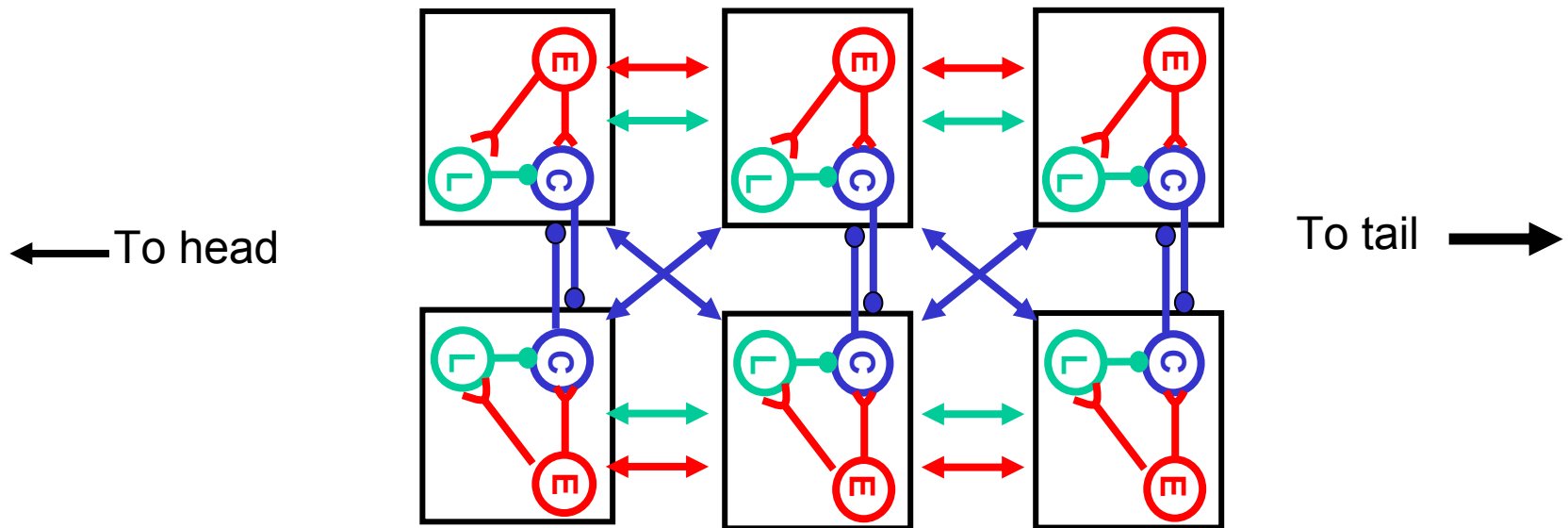
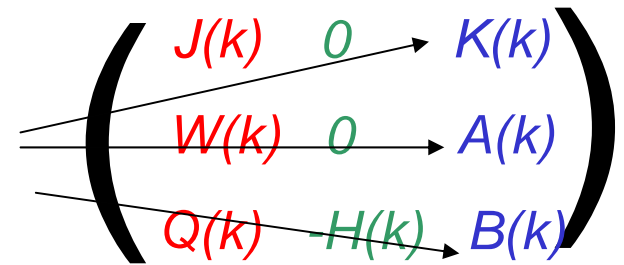


# In a nutshell:

Similarly, connections from C cells



## Fourier Connections



The connections are such that,

$\text{Re}(\lambda(k))$  largest for wave  $k$  corresponding to the swimming mode, when  $k$  corresponds to wavelength of a whole body length, and

In default situations, swimming forward,  $k > 0$

$$E \sim \exp[ -t + \text{Re}(\lambda) t - i (\omega t - k x + \Phi_E ) ]$$

$$L \sim \exp[ -t + \text{Re}(\lambda) t - i (\omega t - k x + \Phi_L ) ]$$

$$C \sim \exp[ -t + \text{Re}(\lambda) t - i (\omega t - k x + \Phi_C ) ]$$

↑  
Wave unsustainable unless  $\text{Re}(\lambda) > 1$

Fourier Connections

$$\begin{pmatrix} J(k) & 0 & K(k) \\ W(k) & 0 & A(k) \\ Q(k) & -H(k) & B(k) \end{pmatrix}$$

Connection structure decides which wave  $k$  has  $\text{Re}(\lambda(k)) > 1$

# The swimming mode

$$\frac{d}{dt} \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} = - \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} + \begin{pmatrix} J & 0 & K \\ W & 0 & A \\ Q & -H & B \end{pmatrix} \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix}$$

Experimental data show E & L synchronize, C phase leads by quarter cycle

$$\frac{d}{dt} (E_- - L_-) = - (E_- - L_-) + (J - W) E_- + (K - A) C_-$$

$$E_- = L_- \quad \longrightarrow \quad (J - W) E_- + (K - A) C_- = 0$$

$$\downarrow$$

$$\sim E_-$$

$$\downarrow$$

$$\sim C_-$$

Since J, W, K, A  
all near diagonal

→ Simplification : E=L, J=W, K=A

$$\frac{d}{dt} \begin{pmatrix} E_- \\ C_- \end{pmatrix} = \begin{pmatrix} J-1 & K \\ Q-H & B-1 \end{pmatrix} \begin{pmatrix} E_- \\ C_- \end{pmatrix}$$

**Prediction 1: H > Q, i.e., L inhibits C more than E excites C, needed for oscillations!**

Compare with  
harmonic oscillator

$$\frac{d}{dt} x = \omega y$$

$$\frac{d}{dt} y = -\omega x$$

# The swimming mode

$$\frac{d}{dt} \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} = - \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix} + \begin{pmatrix} J & 0 & K \\ W & 0 & A \\ Q & -H & B \end{pmatrix} \begin{pmatrix} E_- \\ L_- \\ C_- \end{pmatrix}$$

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$$\downarrow$$

$$\sim E_-$$

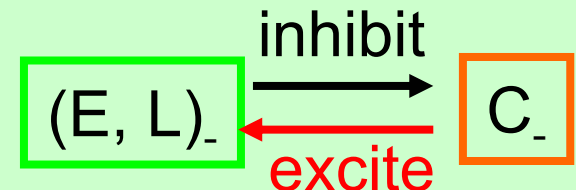
$$\downarrow$$

$$\sim C_-$$

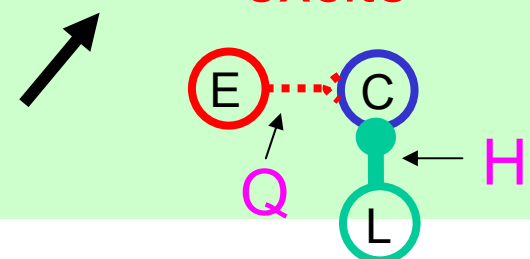
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## The swimming mode

$$\frac{d}{dt} \begin{pmatrix} E_- \\ C_- \end{pmatrix} = \begin{pmatrix} J-1 & K \\ Q-H & B-1 \end{pmatrix} \begin{pmatrix} E_- \\ C_- \end{pmatrix}$$

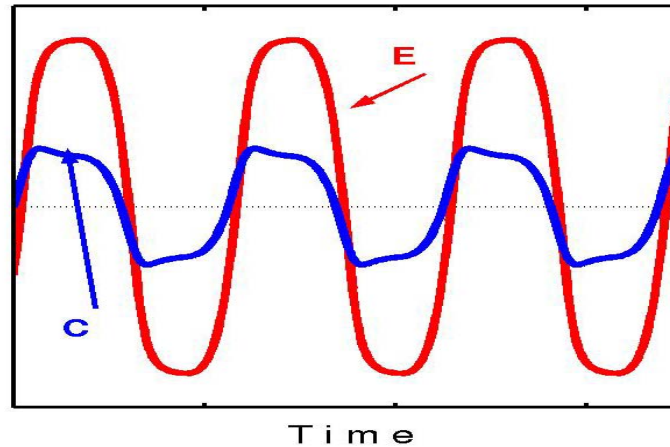
Oscillator equation:

$$\frac{d^2}{dt^2} E + (2-J-B) \frac{d}{dt} E + [(1-J)(1-B) + K(H-Q)] E = 0$$

↑  
Damping

↑  
Restoration force

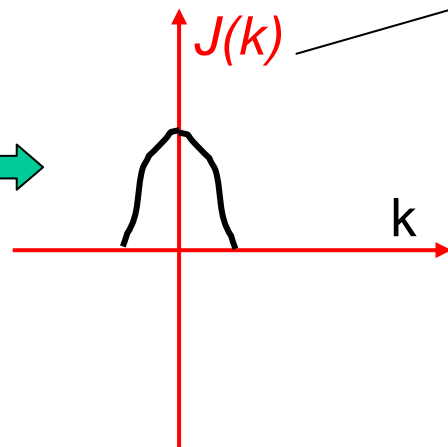
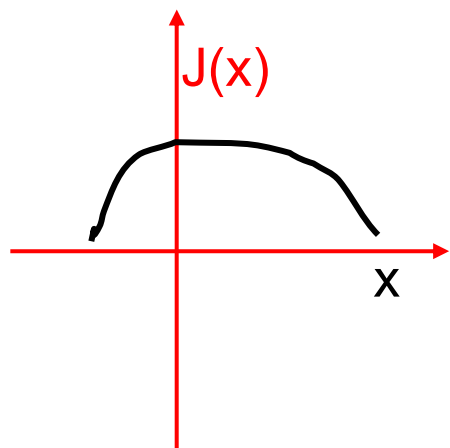
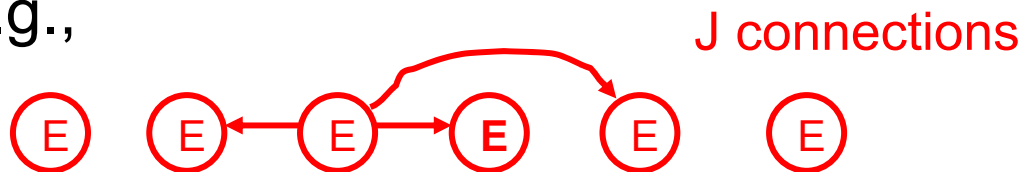
### Simulation



# The swimming mode's wave number $k$

$$d/dt \begin{pmatrix} E_- \\ C_- \end{pmatrix} = \begin{pmatrix} J-1 & K \\ Q-H & B-1 \end{pmatrix} \begin{pmatrix} E_- \\ C_- \end{pmatrix}$$

e.g.,



expand in small  $k \ll 1$ :

$$J(k) = j_0 - ikj_1 - k^2j_2 + O(k^3)$$

$$\text{where } j_n = \sum_x J(x) x^n / n!$$

etc

↑  
moment

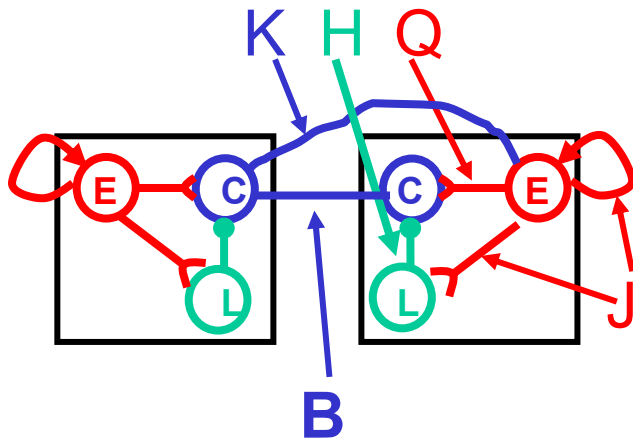
$$d/dt \begin{pmatrix} E_- \\ C_- \end{pmatrix} = \begin{pmatrix} J-1 & K \\ Q-H & B-1 \end{pmatrix} \begin{pmatrix} E_- \\ C_- \end{pmatrix} \xrightarrow{\text{Eigenvector solution}} \begin{pmatrix} E_- \\ C_- \end{pmatrix} e^{\lambda t + ikx} \sim e^{-i(\omega t - kx)}$$

The dominant eigenvector  $k$  determines the global phase gradient (wave number)  $k$

For small  $k$ ,  $\text{Re}(\lambda) = \text{const} - k \cdot a$ ,

where  $a \propto$  first moment of  $(K(H-Q) - (B-J)^2)$

Eg. Head-to-tail  $B$  tends to increase the head-to-tail phase lag ( $k > 0$ ); while head-to-tail  $H$  tends to reduce or reverse it ( $k < 0$ ).



**Prediction 2:** swimming direction could be controlled by scaling connections  $H$ , (less easily also  $Q$  ( $K, B, J$ )), e.g., through external inputs (via recruiting more neurons or via gain  $g'(\cdot)$  in the sigmoid function)

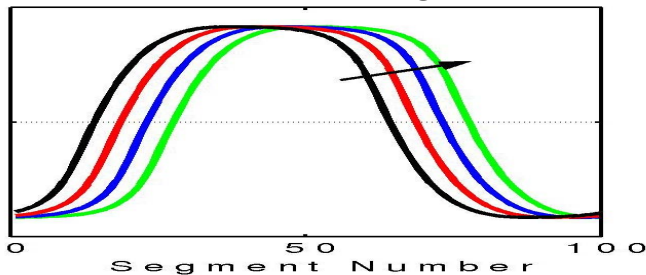
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The dominant eigenvector  $k$  determines the global phase gradient (wave number)  $k$

So, e.g. increasing  $H$  (e.g. via input to  $L$  neurons)  $\rightarrow$  Backward Swimming

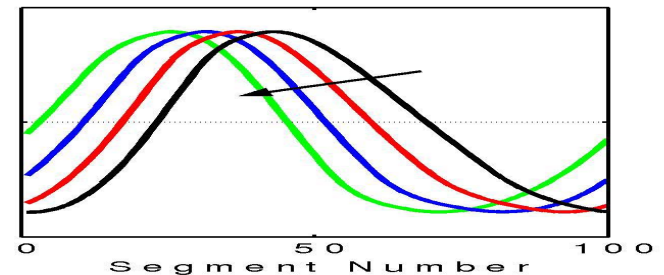
## Simulation results:

Forward swimming



Scaling  $H$  &  $Q$

backward swimming



More intuitively,

See the system as a group of coupled oscillators



# Feeding energy by coupling

**Coupling:**  $F_{ij} = (J_{ij} + B_{ij}) \frac{d}{dt} E_j + [B+J]_{ij} E_j - [BJ+K(H-Q)]_{ij} E_j$

↑  
 Feeds energy when  
 $E_i$  &  $E_j$  synchronize

↑  
 Feeds energy  
 when  $E_i$  lags  $E_j$

↑  
 Feeds energy  
 when  $E_i$  leads  $E_j$

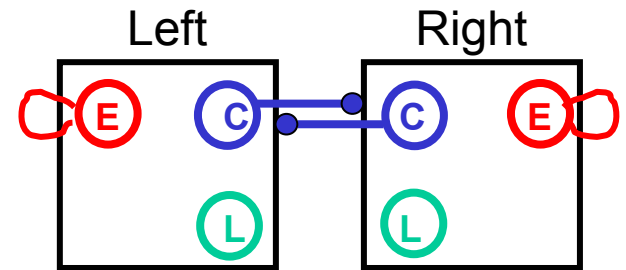
Dominant term is above, since phase gradient is small

The relevant connections for oscillations are thus

**J**      **E to E connections**

**B**      **C to C connections**

↑  
 this as more dominating



# Controlling swimming directions

**Coupling:**  $F_{ij} = (J_{ij} + B_{ij}) \frac{d}{dt} E_j + [B+J]_{ij} E_j - [BJ+K(H-Q)]_{ij} E_j$

↑  
Feeds energy when  $E_i$  &  $E_j$  synchronize

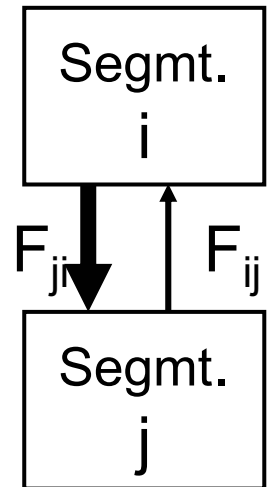
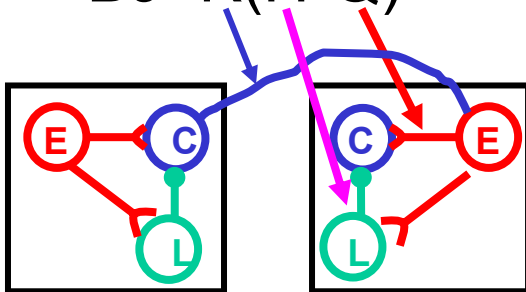
↑  
Feeds energy when  $E_i$  lags  $E_j$

↑  
Feeds energy when  $E_i$  leads  $E_j$

Given  $F_{ji} > F_{ij}$ , (ascending connections dominate)

$B+J > BJ+K(H-Q) \longrightarrow$  Forward swimming  
(head phase leads tail)

$B+J < BJ+K(H-Q) \longrightarrow$  Backward swimming  
(head phase lags tail)

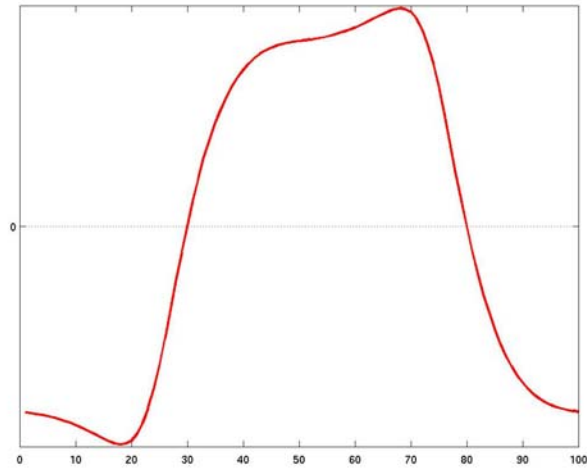




# SIMULATIONS

Forward swimming:

$$E_{-} = E_{L} - E_{R}$$

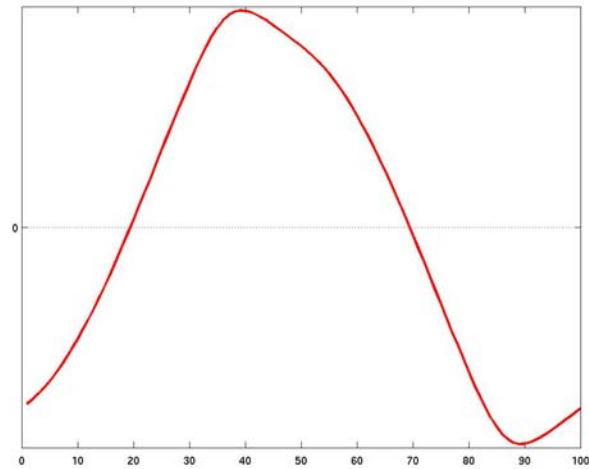


Segment no.

# SIMULATIONS

Backward swimming:

$$E_{-} = E_{L} - E_{R}$$

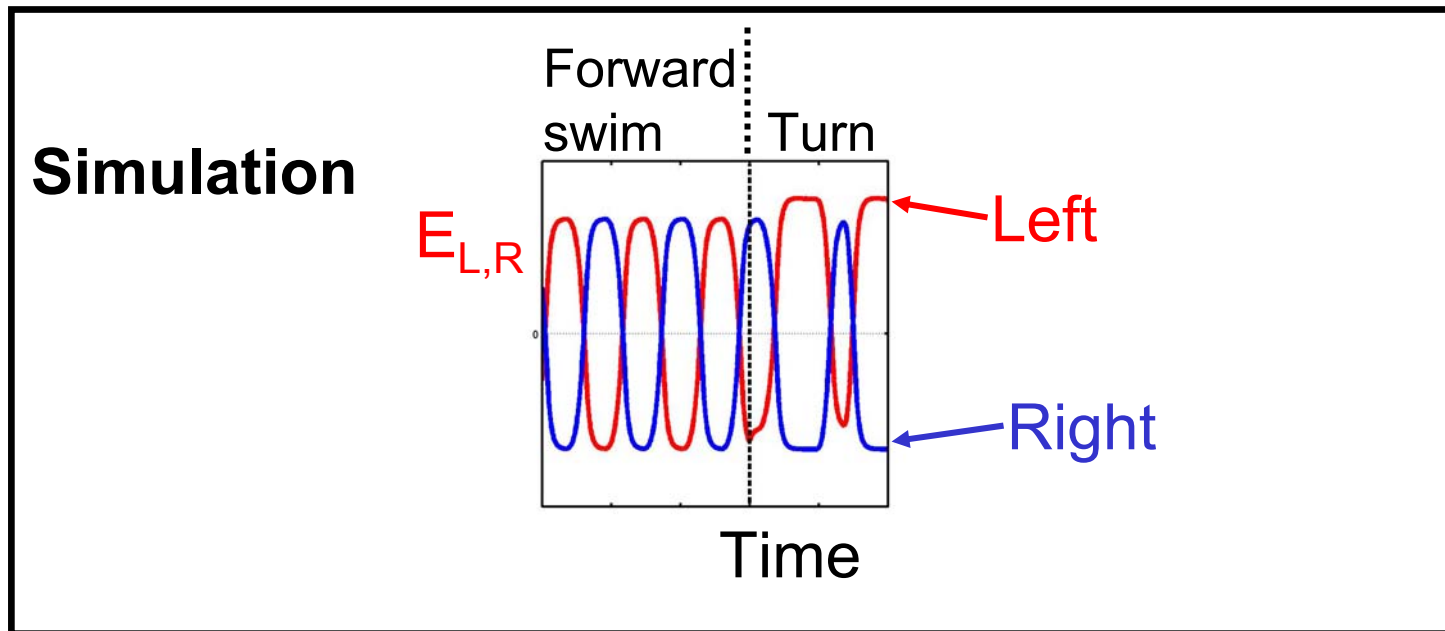


Segment no.

# Turning

Amplitude of oscillations is increased on one side of the body.

Achieved by increasing the tonic input to one side only (see also Kozlov et al., Biol. Cybern. 2002)



## Linearized equations:

$$d/dt \begin{pmatrix} E_- \\ C_- \end{pmatrix} = \begin{pmatrix} J-1 & K \\ Q-H & B-1 \end{pmatrix} \begin{pmatrix} E_- \\ C_- \end{pmatrix} \xrightarrow{\text{Eigenvector solution}} \begin{pmatrix} E_- \\ C_- \end{pmatrix} e^{\lambda t + ikx} \sim e^{-i(\omega t - kx)}$$

**The dominant eigenvector  $k$  determines the global phase gradient (wave number)  $k$**

When there are more than one mode with  $\text{Re}(\lambda) > 0$ , **nonlinear coupling between modes** exist.

**Nonlinear analysis** (for simplicity in  $g(C)$  only)

$$\begin{aligned} \dot{E}_{\pm} &= -E_{\pm} \mp K^0 g_{\pm}(C) + J E_{\pm}, \\ \dot{L}_{\pm} &= -L_{\pm} \mp A^0 g_{\pm}(C) + W E_{\pm}, \\ \dot{C}_{\pm} &= -C_{\pm} \mp B^0 g_{\pm}(C) + Q E_{\pm} - H L_{\pm}, \end{aligned} \quad (6)$$

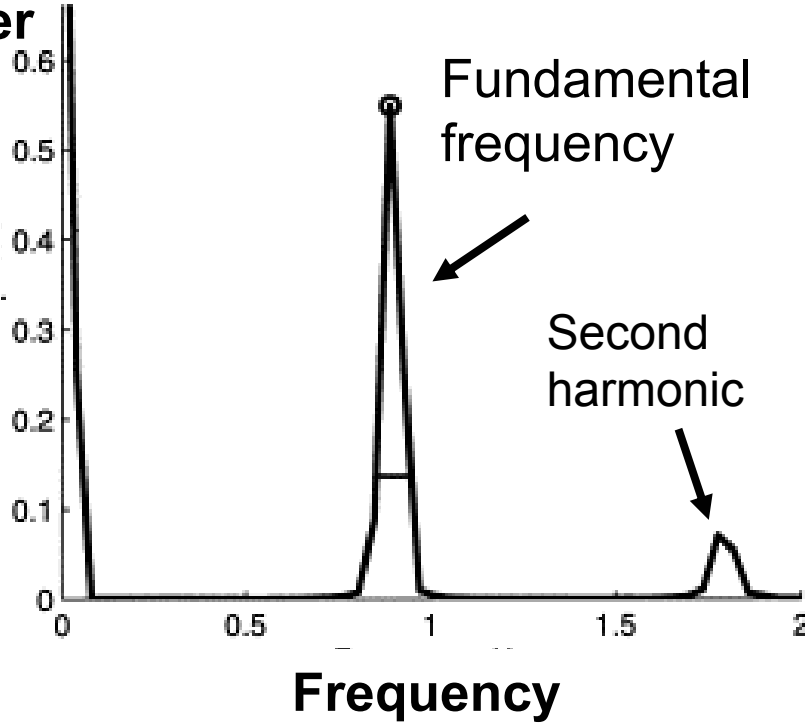
where  $g_{\pm}(C) = [g_C(C_l) - g_C(\bar{C})] \pm [g_C(C_r) - g_C(\bar{C})]$ . If the nonlinearity is of the form  $g_C(x + \bar{C}) - g_C(\bar{C}) = x + ax^2 - bx^3 + \mathcal{O}(x^4)$ , we have

$$g_-(C) \approx C_- + aC_+C_- - bC_-^3/4 - 3bC_-C_+^2/4,$$

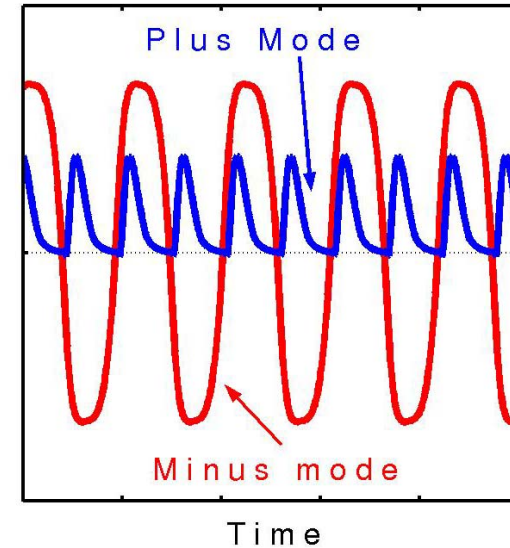
$$g_+(C) \approx C_+ + aC_+^2/2 + aC_-^2/2 - bC_+^3/4 - 3bC_+C_-^2/4.$$

neural  
oscillation  
power

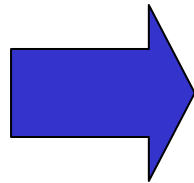
Miller & Sigvardt (1998) measured  
power spectrum of lamprey oscillations



**Simulation results**



Nonlinear analysis of  
model equations



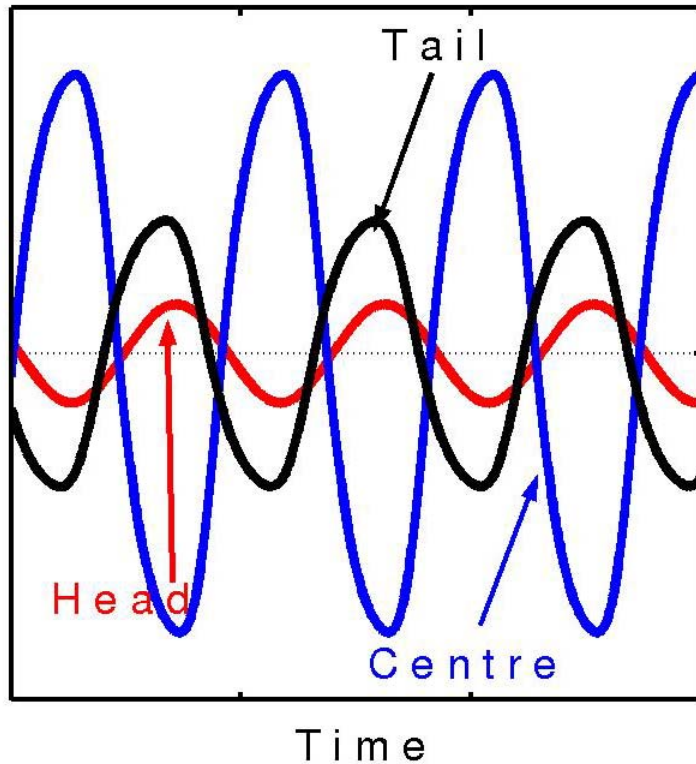
**Prediction 3: second harmonic  
exists in “+” mode only  
(ie. left-right synchronous)**

**Easily  
testable**

## Other conclusions from the nonlinear analysis:

- the swimming cycle, if its linear mode is dominant, is stable against perturbations of another linear, unstable, but less dominant mode.
- If two modes, forward and backward swimming modes, are dominant, swimming direction could be selected by initial conditions, though experimental data indicates that this is less likely.

## Boundary conditions: reduced amplitudes at head and tail can be understood



No translation invariance approximations, simply analyze oscillation coupling

$$d^2/dt^2 E_i + \alpha d/dt E_i + \omega_o^2 E_i = \sum_j F_{ij}$$

## Summary 1:

100x3x2 coupled neurons in a neural circuit of spinal cord

Left-right symmetry

100x3 coupled units in the swimming mode only

Translation symmetry

3 coupled units

Experimental data on phase pattern allow simplification

2 coupled units related to harmonic oscillator

**Nonlinearity allows dominance of a single mode.**

**Selected mode controlled by neural connection patterns and external input --- testable predictions**



# Summary

Analytical study of a CPG model of suitable complexity  
**gives new insights**

How coupling can enable global oscillation from damped oscillators

How each connection type affects phase relationships

How and which connections enable swimming direction control  
--- **can be tested experimentally.**

## Further work:

Include synaptic temporal complexities in model



Control of swimming speed (oscillation frequency) over a larger range